SAP 10 Technical Paper S10TP-06

Amendments to SAP's lighting calculation

Issue 1.2

(Previously published as CONSP-05)

DOCUMENT REVISIONS

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Technical or other changes which affect product recognition requirements (for example) will result in a new issue. Minor or administrative changes (e.g. corrections of spelling and typographical errors, changes to address and copyright details, the addition of notes for clarification etc.) may be made as amendments.

The issue number will be given in decimal format with the integer part giving the issue number and the fractional part giving the number of amendments (e.g. Issue 3.2 indicates that the document is at Issue 3 with 2 amendments).

Users of this document should ensure that they possess the latest issue.

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Issue 1.2	Amendments to SAP lighting calculation	S10TP-06	
Date: 11/03/2022		Page 2 of 21	

TABLE OF CONTENTS

1.	INTRODUCTION	4
2.	OVERVIEW OF CHANGES	5
3.	EVIDENCE BASE	5
4.	CHANGES FOR SAP 10	6
5.	CONCLUSION	9
6.	REFERENCES	10
Append	ix A – ESTIMATING REASONABLE LEVELS OF LIGHTING PROVISION	11
Append	ix B – WORKED EXAMPLES	19

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06	
Date: 11/03/2022		Page 3 of 21	

1. INTRODUCTION

SAP 2012 contains a series of equations to estimate energy use for lighting. The SAP 2012 method calculates a 'base' light energy requirement, a function of floor area and number of occupants, based on light being provided by traditional tungsten bulbs, then applies correction factors to account for the proportion of light being provided by low energy lamps, and for the level of available daylighting. It was suggested that this is too simplistic in the following respects:

- Does not differentiate between different types of 'low energy lights'
- Does not take account of the provision of excessive (or insufficient) lighting

This document describes the SAP 10.2 method which improves the lighting calculation in these respects. It details separate approaches for new build and existing housing, with the aim of balancing the incentive toward greater energy efficiency with the practicality of data gathering.

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 4 of 21

2. OVERVIEW OF CHANGES

The changes to the SAP lighting calculation affect the correction factor C_1 , which is modified to consider the capacity of fixed lighting installed versus a reference requirement, and to top up the provision within the calculation, if necessary, so that the required level of lighting is always supplied. Conversely, if fixed lighting greater than the requirement is installed, this is now accounted for in the energy performance of the building. The lighting requirement is expressed as a range so there is enough flexibility to account for different dwelling layouts (open plan / many rooms), dwelling sizes and lighting design choices.

3. EVIDENCE BASE

The SAP 2012 equation is based on data from the Energy Follow Up Study 1998 (Ref 1), which was used along with data from DECADE and Electricity Association to derive a lighting demand calculation for a household (Ref 2).

The then Department of Energy and Climate Change (now BEIS) published the results of the Household Electricity Survey (HES) in June 2013. The study monitored 250 dwellings for lighting and appliance use from 2010 to 2011 (Ref 3). It:

- Confirmed that the best indicators of lighting energy demand, as are used in the SAP 2012 equation, were:
 - treated floor area and
 - o occupancy.
- Found no evidence for the rebound effect (increased lighting demand due to efficiency improvements).
- Provided W/m² figures for average installed lighting capacity across the sample.

BRE undertook some additional modelling (see Appendix A) to provide the basis for the thresholds of low and high lighting used in the updated methodology.

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06	
Date: 11/03/2022		Page 5 of 21	

4. CHANGES FOR SAP 10

The lighting calculation in SAP 2012 begins by calculating the base lighting energy consumption (E_B, kWh/yr) assuming no low-energy lighting is used. SAP 10 uses this same starting point, but converts this to a lighting load, rather than an energy load, by multiplying by the efficacy of traditional tungsten lamps. Tungsten lamps are no longer widely available, but this is only used to generate the lighting requirement to which the correct efficacy can then be applied.

The first step of the updated method for SAP 10 is therefore to calculate the annual base lighting requirement, Λ_B (klm.h/yr):

$$\Lambda_B = 11.2 \times 59.73 \times (TFA \times N)^{0.4714}$$

Where:

- TFA is the treated floor area in m²
- N is the assumed number of occupants
- 11.2 W/lm is the assumed efficacy of traditional tungsten bulbs
- The coefficient 59.73 and the power constant 0.4714 are used in calculating the base lighting energy consumption
- The coefficient and power constant are unchanged from SAP 2012

In SAP 2012 a correction for the level of daylighting in the dwelling, C_2 , is then applied. This can vary between approximately 96% and 143% depending on glazing characteristics. The daylighting correction remains unchanged for SAP 10, except for a change in symbol to C_{daylight} (dimensionless).

The total lighting requirement is subdivided into two parts for further consideration: fixed lighting and portable lighting. The fixed lighting requirement, Λ_{req} (klm.h/yr) is assumed to be 2/3rds of the total:

$$\Lambda_{\text{reg}} = 2/3 \times \Lambda_{\text{B}} \times C_{\text{daylight}}$$

The procedure then differs slightly for new and existing buildings as described further below. Portable lighting is treated the same way in both cases.

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 6 of 21

New Build

Following lighting modelling undertaken by BRE for this purpose (see Appendix A), a reference fixed lighting capacity, $C_{L,ref}$ (Im), was defined based upon supplying adequate illumination to all rooms.

$$C_{L,ref} = 330 \times TFA$$

A record is made of the number and type of lamps in the dwelling, including rated power (W) and luminous efficacy (Im/W) for each. This data is available from the EU energy rating certificate for light bulbs. The power and efficacy data entered may apply per lamp or per circuit (circuit Watt) if the control gear incurs a significant additional demand (EU energy ratings labels offer default control gear factors). The capacity of the fixed lighting provided, $C_{L,fixed}$ (Im), is calculated as:

$$C_{L,fixed} = \sum (luminous efficacy_{Lamp} \times power_{Lamp})$$

Note that the efficacy of a fitting would be halved to allow for the light absorbed by any shade or diffuser.

The fixed lighting provision, Λ_{prov} (klm.h/yr), is calculated as:

$$\Lambda_{\text{prov}} = \Lambda_{\text{reg}} \times C_{\text{L.fixed}} / C_{\text{L.ref}}$$

If the capacity of lighting provided is less than required for adequate lighting, top-up lighting will be assumed to be used such that sufficient lighting is provided. However, to accommodate different lighting designs and dwelling layouts, a range of installed capacity is allowed for from 110 lm/m² to 330lm/m². Only if the installed capacity is less than the bottom of this range is top-up lighting assumed to be required. The amount of lighting supplied by top-up lighting, Λ_{topup} (klm.h/yr), is:

If
$$\Lambda_{prov} < \Lambda_{req}/3$$
:
$$\Lambda_{topup} = \Lambda_{req}/3 - \Lambda_{prov}$$
 If $\Lambda_{prov} \ge \Lambda_{req}/3$:
$$\Lambda_{topup} = 0$$

The average efficacy of fixed lighting provided, ε_{fixed} (lm/W), is calculated as:

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 7 of 21

$$\varepsilon_{\text{fixed}} = C_{\text{L,fixed}} / \sum \text{power of fixed lighting outlets}$$

The energy required for fixed lighting, $E_{L,fixed}$ (kWh/yr), excluding the top-up, is then calculated by dividing by the average efficacy of the fixed lighting provided.

If
$$\bigwedge_{req} \ge \bigwedge_{prov}$$
:
$$E_{L,fixed} = \bigwedge_{req} / \epsilon_{fixed}$$
If $\bigwedge_{req} < \bigwedge_{prov}$:
$$E_{L,fixed} = \bigwedge_{prov} / \epsilon_{fixed}$$

The energy required for top-up lighting, E_{L,topup} (kWh/yr), is assumed to be provided by luminaires of average efficacy (from portable lighting).

$$E_{L,topup} = \Lambda_{topup} / 21.3$$

The specific luminaires to be considered in SAP 10.2 are only those associated with **fixed fittings** within the dwelling (as assumed in SAP 2012). Portable fittings are not assessed as part of the dwelling and so are assigned an average efficacy of 21.3 lm/Win all cases¹. As in SAP 2012, portable lighting is assumed to account for 1/3 of the total lighting. Therefore the energy required for portable lighting, $E_{L,portable}$ (kWh/yr), is:

$$E_{L,portable} = 1/3 \times \Lambda_B \times C_{daylight} / 21.3$$

The total energy required for lighting, E_L (kWh/yr), is the sum of the fixed, top up and portable components:

$$E_L = E_{L,fixed} + E_{L,topup} + E_{L,portable}$$

Worked examples are provided in Appendix B.

¹ This was the average for all lights derived from DECC's Household Energy Survey

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 8 of 21

Existing Housing

For existing housing, auditing and recording the number and type of fixed lamps in the building may not be practical. Therefore, a generic factor to calculate installed lighting capacity should be applied:

$$C_{L,fixed} = 185 \times TFA$$

185 lm/m² of floor area is the average lighting capacity derived from the Household Electricity Survey.

For new homes the precise details of lamp efficacies should be obtainable, but for existing homes this may not be the case.

Otherwise, the procedure is the same as given for new build above.

5. CONCLUSION

The approach described above for new build is a progression towards meeting a standard of comfort and better reflecting actual lighting energy demand. It builds upon and maintains consistency with the SAP 2012 lighting calculation.

This change affects lighting energy demand and heat gains only. It is based upon a required lighting capacity figure as suggested by modelling (supported by some field data), and lighting demand is still related to TFA and number of occupants; but it allows for the flexibility to accommodate different lighting designs and dwellings of different layouts.

A dwelling may incur higher lighting costs if a greater capacity of lighting is installed than the top end of the reference level for the floor area. Conversely, if the lighting provision is particularly low, the shortfall is assumed to be supplied by portable lighting with typical efficacy.

Existing dwellings are assigned a default installed illumination. This approach recognises the difficulty of recording the lighting specification through survey but otherwise is consistent with the approach for new build.

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 9 of 21

6. REFERENCES

- Department of Energy and Climate Change and Building Research Establishment, Energy Follow Up Survey, 1998
- Building Research Establishment, STP09/AUX01 Review of auxiliary energy use and the internal heat gains assumptions in SAP http://www.bre.co.uk/filelibrary/SAP/2012/STP09-AUX01_Auxiliary_energy_use_and_internal_gains.pdf
- 3. Department of Energy and Climate Change, Household Electricity Survey https://www.gov.uk/government/publications/household-electricity-survey--2
- 4. Come on Labels (EU Contract N°: IEE / 09 / 628) EU Ecodesign and Energy label Regulation for Domestic Lighting

http://www.come-on-labels.eu/download/new-energy-labelling-legislation-for-light-sources-explained

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 10 of 21

Appendix A – ESTIMATING REASONABLE LEVELS OF LIGHTING PROVISION

In the method described in this paper, the lighting is compared with a reference capacity based on the floor area. If there is less lighting, additional top-up lighting (of fairly poor efficacy) is assumed to be used to meet the shortfall. The method therefore penalises under-provision of lighting as well as over-provision. Lighting energy can be minimised by providing a level of lighting that falls within the reference band, as well as by the use of efficient lamps.

Within such a method, selecting the right reference level for lighting provision becomes critical. Setting a low reference level could encourage housing developers to provide inadequate lighting levels and penalise responsible developers who want to build well-lit homes. However, setting too high a reference level could encourage developers to over-provide lighting, and penalise those offering well designed, energy efficient lighting.

This appendix explains how reasonable levels of lighting provision in homes have been established. It is based on examples of lighting designs to meet recommendations for lighting levels (illuminances) in domestic and quasi domestic interiors.

Lighting levels in homes

Guidance on lighting in domestic type interiors is given in the CIBSE 'Lighting Guide 9: Lighting for communal residential buildings' (ref D1) and the SLL Lighting Handbook (ref D2), which includes lighting recommendations for different spaces in quasi-domestic buildings. For example, the recommended maintained illuminance, averaged across the working plane, is 100 lux at floor level in corridors and stairs, 150 lux at floor level in lounges, and 150 lux at table height (typically 0.8m) in dining halls, study areas, kitchens and utility rooms.

These values are recommendations for multi-residential buildings like student accommodation occupied by young people. For quasi-domestic buildings where elderly people predominate, specific recommendations are provided by the Pocklington Trust (ref D3) in their Good Practice Guide 5, Good Housing Design – Lighting. This guide recommends higher average illuminances at floor level in different rooms, such as, for

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 11 of 21

example, 100-300 lux in lounges, 200-300 lux in kitchens, 100-300 lux in bathrooms, 100-300 lux in bedrooms, and 100-200 lux in stairs (on treads).

However, existing recommendations may overestimate actual illuminances in private residences. Simpson and Tarrant (ref D4) surveyed 101 homes in Surrey and found median illuminances of 70-80 lux in kitchens, 20 lux at the bottom of the stairs, 30 lux in the hall and 70 lux in the living room. These are well below the recommended values. This study does date from over 30 years ago, and modern lighting practice may well give higher illuminances, for example in kitchens where provision of down-lighting would be expected to result in illuminances above 70-80 lux. Nevertheless, it appears that in some situations the good practice guidance may propose illuminances that are above those people would normally expect in their homes. For example, the recommended 100 lux for bedrooms may be relevant to old people's homes and student accommodation where such rooms are more likely to be occupied for longer periods, but may overestimate lighting provision in typical bedrooms in private residences which are mainly used for sleeping.

Design studies

Lighting design analysis was carried out using specialist lighting design software (Dialux 4.12) for six types of standard dwelling as described in the BRE Report 'Standard dwellings for energy modelling' (ref D5):

- Large detached house
- Small detached house
- Large semi-detached house
- Modern mid-terrace
- Detached bungalow
- Two-bedroom flat

Target average illuminances were chosen for the different spaces in the standard dwellings based on the existing guidance on lighting in domestic type interiors. These illuminances are shown in Table 4.

Table 1 Average maintained illuminance considered for each room type

	Room	Livin	g room	Dining room	Kitchen	Utili	ty	Study	
Issue 1.2 Date: 11/03/2022		Amend	ments to SAP I	ighting calculat	tion	S10TP-06			
			Page			Page 12	of 21		

Target	150 lux	150 lux	200 lux	100 lux	200 lux
illuminance					
Reference	Floor	Table	Worktop	Floor	Desk
plane					

Table 2 Average maintained illuminance considered for each room type continued

Room	Bedroom	Bathroom	Hall	Stairs
Target	100 lux	150 lux	100 lux	100 lux
illuminance				
Reference	Floor	Floor	Floor	Treads
plane				

For each room in the six dwelling types several design schemes were considered:

- Design 1: High efficiency scheme consisting only of LED downlights / spotlights, in which light was emitted directly downwards towards the reference plane. The average luminaire efficacy of this scheme was in the range 84-87 luminaire lumens per circuit Watt.
- Design 2: Average to high efficiency scheme consisting of surface mounted LED luminaires with some additional LED downlights / spotlights, in which light was emitted both downwards towards the reference plane and sideways towards walls.
 The average luminaire efficacy of this scheme was in the range 82-89 luminaire lumens per circuit Watt.
- Design 3: Low to average efficiency scheme consisting of a combination of suspended and ceiling / wall mounted LED luminaires, giving omnidirectional light that reached both the reference plane and room surfaces i.e. walls and ceiling, thus contributing to an improved visual comfort. The average luminaire efficacy of this scheme was in the range 73-80 luminaire lumens per circuit Watt.
- Design 4: Low to average efficiency scheme consisting of a combination of suspended and ceiling / wall mounted fluorescent luminaires, giving omnidirectional light that reached both the reference plane and room surfaces i.e. walls and ceiling, thus contributing to an improved visual comfort. The average lamp efficacy of this scheme was in the range 65-69 lamp lumens per circuit Watt.

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 13 of 21

Table 5 shows typical examples of luminaire used in the lighting modelling studies for the different types of room.

Table 5 Examples of luminaires used in the design analysis for each room type

Room type	Design 1	Design 2	Design 3	Design 4
Living room, dining room				
Kitchen, utility room				
Bedroom, study				
Bathroom, WC				
Halls, stairs				

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 14 of 21

Designs 1-3 (fully LED lighting) were considered for all six dwelling types, whereas design 4 (consisting of fluorescent lighting) was only considered for the large detached house and the two-bedroom flat to provide data for the largest and smallest properties in the range considered.

The fluorescent luminaires used in the analysis had a LOR (light output ratio, or the ratio of the luminous flux emitted by the luminaire to the luminous flux emitted by all the lamps that it contains) in the range 0.42-0.58, which are considered typical for this type of luminaire. The fluorescent pendant had a considerably higher LOR of 0.89, but given its direct-indirect distribution, in which around half of the light was emitted upwards, the proportion of useful light reaching the reference plane was estimated to be in the range mentioned above.

Results

Iterative lighting design modelling was carried out in each room until the adequate arrangement of luminaires of the types described above was determined so that the recommendations listed in Table 1 and Table 2 were met. The installed load and luminous flux were noted for each room. For each dwelling and design considered, the total installed load is shown in Table 6 and the summed luminous fluxes installed in all rooms was then divided by the summed areas of all rooms to determine the lux (or lm/m²) values as shown in Table 7.

Table 6 Installed load (expressed in circuit Watts) for each type of dwelling and design scheme

Design	Large	Small	Semi-	Mid-	Detached	Two-
scheme	detached	detached	detached	terraced	bungalow	bedroom
	house	house	house	house		flat
1	314	206	177	161	159	131
2	430	305	278	232	217	176
3	562	383	335	323	293	247

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06	
Date: 11/03/2022		Page 15 of 21	

4	1271	-	-	-	-	506

Table 7 Reference lux value for each type of dwelling and design scheme

Design	Large	Small	Semi-	Mid-	Detached	Two-
scheme	detached	detached	detached	terraced	bungalow	bedroom
	house	house	house	house		flat
1	185	177	176	176	200	185
2	245	259	266	264	291	248
3	309	289	292	309	321	325
4	611	-	-	-	-	542

Installed luminous flux is expressed in luminaire lumens for designs 1-3, which fully consist of LED lighting, and in lamp lumens for design 4, which uses fluorescent lighting. As expected, the installed load increased progressively from design 1 to design 4, with average values across all six dwelling types of 191 W, 273 W and 357 W for designs 1 to 3 (all LED) respectively, and 889 W for design 4 (fluorescent). The specific load per unit floor area, averaged across all six dwelling types, also increased progressively from design 1 to design 4, with average values of 2.1 W/m², 3.1 W/m², 4.0 W/m² and 8.6 W/m² respectively.

Discussion

The results of the analysis indicate that there is a range of values of lighting provision (in lamp lumens per square metre) that meet the recommended illuminances in domestic interiors. This is due to a combination of factors:

- More lighting is needed per unit floor area in small rooms. This is because walls absorb some of the light.
- b) Luminaires that give omnidirectional light require more lamp lumens for the same horizontal illuminance compared to downlights. This extra light is not necessarily wasted, because it lights the walls and ceiling and can result in a brighter, more attractive interior.
- c) Conventional luminaires (tungsten, halogen, compact fluorescent and fluorescent) are fitted with shades or diffusers which block some of the light emitted by the lamp (typically around half). In contrast, in most LED luminaires (fittings) the light source (LED) is an integral part of the luminaire and the lumen output quoted by the

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 16 of 21

manufacturer is the actual amount of light that comes out of the luminaire. (This does not apply to LED bulbs that are intended to be used in conventional luminaires or lamp shades). In the studies (option 4 for the large detached house and the flat) this results in much higher totals of lamp lumens required to deliver the recommended illuminances.

Based on these findings, the SAP 10 methodology adopted a range of values (of lumens per square metre) for good practice lighting provision. If the amount of lighting in the dwelling is within the range, the SAP lighting energy requirement depends only on the efficacy of the lighting provided. If the amount of lighting exceeds the upper limit of the range the lighting energy requirement is proportional to the extra energy use. If the amount of lighting is below the lower end of the range, additional top up lighting (of a lower efficacy) is assumed to be brought in by the occupant, and the lighting energy requirement goes up.

The studies using efficient LED luminaires (options 1, 2 and 3) gave lighting provisions in the range 176 to 325 lumens per square metre. To allow for special cases, a slightly wider range for lighting provision without penalty for under- or over-lighting, 165 to 330 lumens per square metre, has been chosen. Because these design studies used LED lighting where the lamp is an integral, non-removable part of the luminaire, these values represent luminaire lumens (the total amount of light emerging from the luminaire).

The analysis carried out above assumes that no additional portable lighting is provided. However, the SAP methodology assumes that one third of the lighting is portable. Accordingly, it was deemed appropriate to reduce the lower end of the range to 110 lumens per metre (two thirds of 165) to avoid counting this lighting twice. This results in a range of 110-330 lumens per square metre.

In addition, in calculating the lumens per square metre provision, the light blocked by shades and diffusers in conventional light fittings has been taken into account by halving the lamp lumen output (or lamp efficacy) before being input into the total. This applies to all lamps (including LED bulbs) placed inside a fitting of unspecified light output ratio, or where a bare lamp is provided over which the householder would be expected to place a shade.

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 17 of 21

References to Appendix A

- D1. CIBSE, 'Lighting Guide 9: Lighting for communal residential buildings', London, 2013.
- D2. SLL, 'Lighting Handbook', Society of Light and Lighting, London, 2009.
- D3. Pocklington Trust, 'Good Practice Guide 5, Good Housing Design Lighting', London, 2010.
- D4. Simpson J and Tarrant A, 'A study of lighting in the home' Ltg Res & Technol 15 (1) 1-8, 1983.
- D5. BRE, 'Standard dwellings for energy modelling', updated August 2012.

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 18 of 21

Appendix B – WORKED EXAMPLES

All worked examples are for new build housing.

Example 1

A 60m² dwelling with more lighting specified than required.

SAP 2012 energy demand E_B (assuming daylighting factor 1) = 568.1 kWh/yr

	Tungsten ²	Fluorescent	CFL
Number of bulbs	6	1	17
Efficacy (Im/W)	11.2	80.5	66.9
Circuit Watts/lamp	60	14	14
Installed Watts	360	14	238
Installed Lumens	4,032	1,127	15,922

- Lumens_{Installed} = 21,081 lm (greater than lighting requirement)
- Watts_{Installed} = 612 W (less than tungsten despite greater lighting)
- Base total lighting requirement = 6,362.79 klm.h/yr
- Total lighting requirement = 6,362.79 klm.h/yr
- Fixed lighting requirement = 4,241.86 klm.h/yr
- Portable lighting requirement = 2,120.93 klm.h/yr
- Reference fixed lighting capacity = 19,800 Lm
- Fixed lighting capacity ratio = 1.06
- Fixed lighting provision = 4,516.34 klm.h/yr
- Top-up lighting requirement = 0 klm.h/yr
- Energy used for fixed lighting = 131.11 kWh/yr
- Energy used for portable lighting = 99.57 kWh/yr
- Energy used for top-up lighting = 0
- Total lighting energy = 230.69 kWh/yr

² Tungsten lamps are no longer widely available to use for general lighting use, but this example still illustrates the procedure.

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 19 of 21

Example 2

A 90m² dwelling with less lighting specified than requirement

• SAP 2012 energy demand E_B (assuming daylighting factor 1) = 785.3 kWh/yr

	Tungsten	Fluorescent	CFL
Number of bulbs	3	1	3
Efficacy (Im/W)	11.2	80.5	66.9
Circuit Watts/lamp	60	14	14
Installed Watts	180	14	42
Installed Lumens	2,016	1,127	2,809

- Lumens_{Installed} = 5,952 lm
- Watts_{Installed} = 236 W
- Base total lighting requirement = 8,795.78 klm.h/yr
- Total lighting requirement = 8,795.78 klm.h/yr
- Fixed lighting requirement = 5,863.85 klm.h/yr
- Portable lighting requirement = 2,931.93 klm.h/yr
- Reference fixed lighting capacity = 29,700 Lm
- Fixed lighting capacity ratio = 0.2
- Fixed lighting provision = 1,175.3 klm.h/yr
- Top-up lighting requirement = 759.77 klm.h/yr
- Energy used for fixed lighting = 46.59 kWh/yr
- Energy used for portable lighting = 137.65 kWh/yr
- Energy used for top-up lighting = 35.67 kWh/yr
- Total lighting energy = 219.91 kWh/yr

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 20 of 21

Example 3

A 90m² dwelling with 100% LELs.

• SAP 2012 energy demand (assuming daylighting factor 1) = 785.3 kWh/yr

	Tungsten	Fluorescent	CFL
Number of bulbs	0	0	19
Efficacy (Im/W)	11.2	80.5	66.9
Circuit Watts/lamp	60	14	14
Installed Watts	0	0	266
Installed Lumens	0	0	17,795

- Lumens_{Installed} = 17,795 lm (greater than lighting requirement)
- Watts_{Installed} = 266 W (much less than tungsten despite greater lighting)
- Base total lighting requirement = 8,795.78 klm.h/yr
- Total lighting requirement = 8,795.78 klm.h/yr
- Fixed lighting requirement = 5,863.85 klm.h/yr
- Portable lighting requirement = 2,931.93 klm.h/yr
- Reference fixed lighting capacity (lm) = 29,700
- Fixed lighting capacity ratio = 0.60
- Fixed lighting provision = 3,513.45 klm.h/yr
- Top-up lighting requirement = 0
- Energy used for fixed lighting = 52.52 kWh/yr
- Energy used for portable lighting = 137.65 kWh/yr
- Energy used for top-up lighting = 0
- Total lighting energy = 190.17 kWh/yr

Issue 1.2	Amendments to SAP lighting calculation	S10TP-06
Date: 11/03/2022		Page 21 of 21